

## ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

December 03, 2019

Ms. Gale Kirby  
Nuveen Securities, LLC  
333 W. Wacker Dr.  
Chicago IL 60606

Reference: **FR2019-1108-0195/B**  
Link Reference : FR2017-1215-0169

Org Id: 469

1. Estate Planning: Howe a 529 Plan Can Help (#ADMASTER-1000913)  
Rule: MSRB  
2 Pages  
Total Fee: \$125

Dear Ms. Kirby,

The communication submitted appears consistent with applicable standards.

Reviewed by,

Meredith B. Mesa Meadows  
Associate Principal Analyst

hrm

*Please send any communications related to filing reviews to this Department through the Advertising Regulation Electronic Filing (AREF) system or by facsimile or hard copy mail service. We request that you do not send documents or other communications via email.*

**NOTE:** *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the*



*communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*