



ADVERTISING REGULATION DEPARTMENT REVIEW LETTER

October 25, 2018

Mr. Timothy Stoner
Nuveen Securities, LLC
333 W. Wacker Dr.
Chicago IL 60606

Reference: **FR2018-1001-0204/B**
Link Reference : FX2017-1004-0114

Org Id: 469

1. Mi529 Employee Benefit Brochure (#ADMASTER-597264)
Rule: MSRB
6 Pages

Total Fee: \$125

Dear Mr. Stoner,

The communication submitted appears consistent with applicable standards.

Reviewed by,

Caroline J. Waugaman
Manager

cjw

NOTE: *We assume that your filed communication doesn't omit or misstate any fact, nor does it offer an opinion without reasonable basis. While you may say that the communication was "reviewed by FINRA" or "FINRA reviewed," you may not say that we approved it.*



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